

Substitute for form 1449A/PTO (modified)

Application Number

10/726248

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Filing Date

December 2, 2003

First Named Inventor

Watson, James E.

Art Unit

2828

Examiner Name

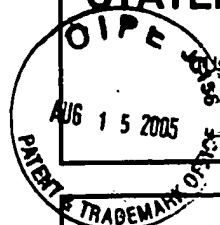
Unknown

Attorney Case Number

59376US002

Use as many sheets as necessary)

Page 1 of 1

**U.S. Patent Documents**

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number (Kind Code if Known)			
KG	A1	US- 5,567,032	10/22/1996	Heizmann	
	A2	US- 5,713,654	02/03/1998	Scifres	
	A3	US- 6,045,240	04/04/2000	Hochstein	
	A4	US- 6,402,347	6/11/02	Maas et al.	
	A5	US- 6,414,801 B1	07/02/2002	Roller	
	A6	US- 6,821,143 B2 (Equiv. of FR2840151)	11/23/2004	Gasquet et al.	
KG	A7	US- 2004/0008952	1/15/04	Kragl	
	A8	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
KG	B1	DE	199 23 187 A1	11/23/2000			
	B2	DE	201 20 770 U1	05/02/2002			Abstract only
	B3	DE	101 10 835 A1 ✓	09/19/2002			Abstract only
	B4	DE	101 34 381 A1	01/23/2003			Abstract only
	B5	DE	101 62 404 A1 ✓	07/03/2003			Abstract only
	B6	EP	1 067 332 A2	01/10/2001			Abstract only
	B7	WO	01/59360 A1 ✓ (Equiv. of DE10005795)	08/16/2001			Abstract only
	B8	WO	02/054129 ✓	07/11/02			
KG	B9	WO	02/086972 A1	10/31/2002			

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

*Examiner:

Karabi Gnharany

Date Considered:

2/14/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

Substitute for form 1449/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

Application Number

10/726248

Filing Date

December 2, 2003

First Named Inventor

Watson, James E.

Art Unit

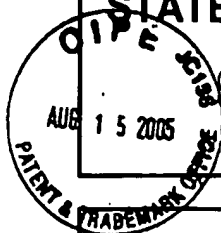
2828

Examiner Name

Unknown

Attorney Case Number

59376US002



U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number		Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)				
KG	A1	US-	3,825,335	07/1974	Reynolds, Robert	
I	A2	US-	5,301,090	4/1994	Hed, Aharon Z.	
I	A3	US-	6,402,347	06/2002	Maas et al.	
KG	A4	US-	6,527,411	03/2003	Sayers, Edwin Mitchell	
	A5	US-				
	A6	US-				
	A7	US-				
	A8	US-				

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1						
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

*Examiner:

Karabi Sunkarung

Date Considered:

2/14/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

Substitute for form 1449A/PTO (modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Page 1 of 1

Application Number

10/726248

Filing Date

December 2, 2003

First Named Inventor

Watson, James E.

Art Unit

2828

Examiner Name

Unknown

Attorney Case Number

59376US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
KG	A1	US- 6,874,910	4/05/05	Sugimoto et al.	
KG	A2	US- 2003/0052594	3/20/03	Matsui et al.	
KG	A3	US- 2003/0189829	10/09/03	Shimizu et al.	
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B1						
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

*Examiner:

Ravabi Gularay

Date Considered:

2/14/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

O I P E
MAR 04 2005
PATENT & TRADEMARK OFFICE

(Use as many sheets as necessary)

Page 1 of 1

Application Number	10/726248
Filing Date	December 2, 2003
First Named Inventor	Watson, James E.
Art Unit	2828
Examiner Name	Unknown
Attorney Case Number	59376US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 5,140,248	09-08-1992	Duwaer	
KG	A2	US- 5,420,768	05-30-1995	Kennedy	
	A3	US- 5,634,711	06-03-1997	Kennedy et al.	
	A4	US- 2004/008952	01/15/04	Kragl	
	A5	US- 2004/0164325 A1	08-26-2004	Siegel	
KG	A6	US- 2004/0166249 A1	08-26-2004	Siegel	
	A7	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
KG	B1	EP	1 241 510	9/18/02			
KG	B2	WO	WO 2002/054129 A1 English Abstract	07/11/02			X
KG	B3	WO	WO 03/096387 A2	11-20-2003			
KG	B4	WO	WO 2004/081475 A2	09-23-2004			
	B5						
	B6						
	B7						

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

*Examiner: Karabi Gularay

Date Considered: 2/14/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

Substitute for form 1449A/PTO (modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

AUG 15 2005

Page 1 of 1

Application Number

10/726248

Filing Date

December 2, 2003

First Named Inventor

Watson, James E.

Art Unit

2828

Examiner Name

Unknown

Attorney Case Number

59376US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
KG	A1	US- 6,556,734	4/29/2003	Bischel et al.	
	A2	US- 6,692,250	2/17/04	Decaudin et al.	
	A3	US- 5,301,090	4/05/1994	Hed	
	A4	US- 6/075,595	6/13/2000	Malinen	
	A5	US- 2003/0117691	6/26/03	Bi et al.	
	A6	US- 2003/0235800	12/25/03	Qadar	
KG	A7	US- 2004/0106968	6/03/04	Yamada	

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B1						
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

*Examiner: Karabi Gularov

Date Considered:

2/14/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

Substitute for form 1449/PTO (modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Page 1 of 1

Application Number

10/726248

Filing Date

December 2, 2003

First Named Inventor

Watson, James E.

Art Unit

2828

Examiner Name

Unknown

Attorney Case Number

59376US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number		Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)				
KG	US-	US-	US6290382	9/18/01	Boum et al.	
KG	US-	US-	US6236382	5/22/01	Kawakami et al.	
KG	US-	US-	US6395564	5/28/02	Huang	
	A1	US-				
	A2	US-				
	A3	US-				
	A4	US-				
	A5	US-				
	A6	US-				
	A7	US-				
	A8	US-				

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
KG	B1	EP	0303741	2/22/89			
KG	B2	EP	1108949	6/20/01			
	B3						
	B4						
	B5						
	B6						
	B7						

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

*Examiner:

Karabi Gularay

Date Considered:

2/14/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) APR 14 2004 PATENT & TRADEMARK OFFICE	Application Number	10/726248
	Filing Date	December 2, 2003
	First Named Inventor	
	Art Unit	2828
	Examiner Name	
	Attorney Case Number	59376US002

Page 1 of 2

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
K6	A1	US- 5,227,008	07/13/1993	Klun et al.	
	A2	US- 5,299,222	03/29/1994	Shannon et al.	
	A3	US- 5,574,817	11/12/1996	Henson et al.	
	A4	US- 5,611,017	03/11/1997	Lee et al.	
	A5	US- 5,808,794	09/15/1998	Weber et al.	
	A6	US- 5,882,774	03/16/1999	Jonza et al.	
	A7	US- 5,967,653	10/19/1999	Miller et al.	
	A8	US- 6,224,216 B1	05/01/2001	Parker et al.	
	A9	US- 2001/0001207	05/17/2001	Shimizu et al.	
	A10	US- 2001/0010449	08/02/2001	Chiu et al.	
	A11	US- 2002/0024055 A1	02/28/2002	Uemura et al.	
	A12	US- 2002/0113244	08/22/2002	Barnett et al.	
	A13	US- 2002/0176251 A1	11/28/2002	Plank et al.	
	A14	US- 2003/0001488	01/02/2003	Robert C. Sundahl	
	A15	US- 2003/0052594	03/20/2003	Matsui et al.	
	A16	US- 2003/0057421	03/27/2003	Tzer-Perng Chen	
	A17	US- 6,560,038 B1	05/06/2003	Parkyn, Jr. et al.	
	A18	US- 6,603,258 B1	08/05/2003	Mueller-Mach et al.	
	A19	US- 2003/0142500 A1	07/31/2003	Bachl et al.	
✓	A20	US- 2003/0178627	09/25/2003	Marchl et al.	
K6	A21	US- 6,692,250	02/17/04	Decaudin et al.	

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
K6	B1	DE	201 11 814 U1	12/20/2001			Abstract
K6	B2	EP	1 260 196 A2	11/27/2002			Abstract
K6	B3	JP	07240536 A	09/12/1995			Abstract

*Examiner: Karabi Gularay	Date Considered: 2/14/06
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 2 of 2	Application Number	10/726248
	Filing Date	December 2, 2003
	First Named Inventor	
	Art Unit	2828
	Examiner Name	
	Attorney Case Number	59376US002

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
KG	C1	Hsu, J.T. et al., "Design of multi-chips LED module for lighting application", <i>Solid State Lighting II, Proceedings of SPIE</i> (2002), Vol. 4776, pp. 26-33.
	C2	Serial No. 10/317,734 filed 12/02/2002 as our Docket No. 57755US002 having Title - Optical fiber or Waveguide Lens
	C3	Serial No. 60/430,230 filed 12/02/2002 as our Docket No. 58130US002 having Title - Illumination System Using a Plurality of Remote Light Sources
	C4	Serial No. 60/443,232 filed 01/27/2003 as our Docket No. 58390US002 having Title - Methods of Making LED-excited Phosphor- based Light Sources
	C5	Serial No. 60/443,235 filed 01/27/2003 as our Docket No. 58388US002 having Title - LED-excited Phosphor-based Light Sources with Front Illumination
	C6	Serial No. 60/443,274 filed 01/27/2003 as our Docket No. 58389US002 having Title - LED-excited Phosphor-based Light Sources
	C7	Serial No. 10/670,630 filed 09/25/2003 as our Docket No. 58385US002 having Title - Lensed Optical Fiber and Method for Making the Same
	C8	Serial No. 10/726,222 filed 12/02/2003 as our Docket No. 58130US004 having Title - Illumination System Using A Plurality Of Light Sources
	C9	Serial No. 10/726,997 filed 12/02/2003 as our Docket No. 58389US004 having Title - Phosphor Based Light Sources Having a Polymeric Long Pass Reflector
	C10	Serial No. 10/726,244 filed 12/02/2003 as our Docket No. 59121US002 having Title - Reflective Light Coupler
	C11	Serial No. 10/727,220 filed 12/02/2003 as our Docket No. 59333US002 having Title - Illumination Assembly
	C12	Serial No. 10/726,225 filed 12/02/2003 as our Docket No. 59349US002 having Title - Solid State Light Device
	C13	Serial No. 10/727,072 filed 12/02/2003 as our Docket No. 59416US002 having Title - Phosphor Based Light Sources Having a Non-Planar Long Pass Reflector
	C14	Serial No. 10/726,257 filed 12/02/2003 as our Docket No. 59432US002 having Title - LED Curing Apparatus and Method
KG	C15	Serial No. 10/727,023 filed 12/02/2003 as our Docket No. 58390US004 having Title - Methods of Making Phosphor Based Light Sources Having an Interference Reflector

*Examiner: Karabi Gubarey	Date Considered: KG
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	